

Form PTO-1390U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-95) <b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY-S DOCKET NUMBER <b>0702-020284</b>
INTERNATIONAL APPLICATION NO <b>PCT/NL00/00591</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/069863</b>
INTERNATIONAL FILING DATE <b>24.08.00 (24 August 2000)</b>		PRIORITY DATES CLAIMED <b>27.08.99 (27 August 1999)</b>
TITLE OF INVENTION <b>INJECTION-MOULDING DEVICE</b>		
APPLICANT(S) FOR DO/EO/US <b>Franciscus A.J. VAN BOEKEL</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items And other information		
<ol style="list-style-type: none"> <li>1 <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</li> <li>2 <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371</li> <li>3 <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1)</li> <li>4 <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date</li> <li>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6 <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2))</li> <li>7 <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau)</li> <li>b <input type="checkbox"/> have been transmitted by the International Bureau</li> <li>c <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired</li> <li>d <input checked="" type="checkbox"/> have not been made and will not be made</li> </ol> </li> <li>8 <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9 <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))</li> <li>10 <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))</li> </ol> <p><b>Items 11. to 16. below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11 <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98</li> <li>12 <input type="checkbox"/> An assignment document for recording    A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13 <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.           <ol style="list-style-type: none"> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> </ol> </li> <li>14 <input type="checkbox"/> A substitute specification</li> <li>15 <input type="checkbox"/> A change of power of attorney and/or address letter</li> <li>16 <input checked="" type="checkbox"/> Other items or information           <ol style="list-style-type: none"> <li>a. WO 01/15884-Front Page, Specification, Claims And Drawings (19 pp.)</li> <li>b. International Search Report (3 pp.)</li> </ol> </li> </ol>		

U.S. APPLICATION NO. (If none, see 37 CFR 1.51) <b>10/069863</b>		INTERNATIONAL APPLICATION NO. PCT/NL.00/00591		ATTORNEY-S DOCKET NUMBER 0702-020284	
<div>17 <input checked="" type="checkbox"/> The following fees are submitted</div> <div><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00</div>				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	21 - 20	1	X \$18.00	\$ 18.00	
Independent claims	1 - 3 =	0	X \$84.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1038.00	
Reduction of 1/2 for filing by small entity, if applicable				\$ 0.00	
SUBTOTAL =				\$ 1038.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1038.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1038.00	
				Amount to be: Refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 1038.00 to cover the above fees is enclosed					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed					
c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0650 . A duplicate copy of this sheet is enclosed					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO Richard L. Byrne 700 Koppers Building 436 Seventh Avenue Pittsburgh, Pennsylvania 15219-1818 Telephone. (412) 471-8815 Facsimile: (412) 471-4094					
			<div>SIGNATURE Richard L. Byrne NAME 28,498 REGISTRATION NUMBER</div>		

1006986 10/069863

JC13 Rec'd PCT/PTO 26 FEB 2002

PATENT APPLICATION/PCT  
Attorney Docket No. 702-020284

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of :  
Franciscus A.J. VAN BOEKEL : INJECTION-MOULDING DEVICE  
International Application :  
No. PCT/NL00/00591 :  
International Filing Date :  
24 August 2000 :  
Priority Date Claimed :  
27 August 1999 :  
Serial No. Not Yet Assigned :  
Filed Concurrently Herewith :

Pittsburgh, Pennsylvania  
February 26, 2002

PRELIMINARY AMENDMENT

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the above-identified patent application as follows:

**IN THE SPECIFICATION:**

**On page 1, after the title, please insert the following section headings:**

BACKGROUND OF THE INVENTION

1. Field of the Invention

**Please rewrite the first paragraph beginning on page 1, paragraph 1 as follows:**

The invention relates to an injection-moulding [device as according to the preamble of claim 1].

**Before the paragraph beginning at page 1, line 6, please insert the following section heading:**

2. Description of the Related Art

**Before the paragraph beginning at page 2, line 11, please insert the following section heading:**

BRIEF SUMMARY OF THE INVENTION

**Before the paragraph beginning at page 3, line 1, please insert the following section heading:**

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

**Before the paragraph beginning on page 3, line 10, please insert the following section heading:**

DETAILED DESCRIPTION OF THE INVENTION

**IN THE CLAIMS:**

**Please amend claim 1 as follows:**

1. (Amended) An injection-moulding device for injection moulding of plastic objects, comprising a mould which defines a mould cavity, in which mould is provided a flow channel for the at least partially liquid plastic, which flow channel extends through a manifold and a number of nozzles connected to the manifold, wherein the flow channel contains a number of transverse separating surfaces between structural components, and at least one transverse separating surface is bridged by a sealing element in the flow channel, wherein the sealing element is provided clampingly on the structural components.

**Please amend claim 2 as follows:**

2. (Amended) The device as claimed in claim 1, wherein the sealing element is provided with shrink fit on the structural components.

**Please amend claim 3 as follows:**

3. (Amended) The device as claimed in claim 2, wherein the sealing element is provided on the structural components with an overmeasure in the dimension in axial direction.

**Please amend claim 4 as follows:**

4. (Amended) The device as claimed in claim 1, wherein the sealing element is formed by a cylindrical bush, wherein the ratio of the diameter of the flow channel, wall thickness of the bush and height of the bush equals 22:2:10.

**Please amend claim 5 as follows:**

5. (Amended) The device as claimed in claim 1, wherein the structural components are provided with a corresponding recess for the sealing element for housing of the sealing element.

**Please amend claim 6 as follows:**

6. (Amended) The device as claimed in claim 5, wherein the recess has a form and dimension such that the passage of the flow channel over the seal remains constant.

**Please amend claim 7 as follows:**

7. (Amended) The device as claimed in claim 1, wherein the sealing element is manufactured from a metal alloy, for instance a high chromium content alloy.

**Please amend claim 8 as follows:**

8. (Amended) The device as claimed in claim 1, wherein an additional seal is provided between the structural components which is formed by self-sealing sealing rings

which are arranged diametrically relative to the flow channel in the transverse separating plane.

**Please amend claim 9 as follows:**

9. (Amended) The device as claimed in claim 1, wherein the structural components defining the transverse separating surface are formed by the manifold and a nozzle.

**Please amend claim 10 as follows:**

10. (Amended) The device as claimed in claim 9, wherein the nozzle is mounted on the manifold by means of a number of, preferably two, and more preferably four, independently controllable connecting elements.

**Please amend claim 11 as follows:**

11. (Amended) The device as claimed in claim 10, wherein a connecting element is formed by a nut and bolt assembly, wherein the nut is preferably a clamp plate.

**Please amend claim 12 as follows:**

12. (Amended) The device as claimed in claim 9, wherein an adaptor nozzle is provided between the manifold and a nozzle, wherein an angular displacement is possible between the manifold and the adaptor nozzle.

**Please amend claim 13 as follows:**

13. (Amended) The device as claimed in claim 1, wherein the structural components defining the transverse separating surface are formed by nozzle parts.

**Please amend claim 14 as follows:**

14. (Amended) The device as claimed in claim 13, wherein two semi-circular clamping plates are provided round the transverse separating surface for enclosing the outer periphery of the nozzles.

**Please amend claim 15 as follows:**

15. (Amended) The device as claimed in claim 14, wherein the outer periphery of the nozzles is provided with a stepped portion and the clamping plates with a corresponding recess.

**Please amend claim 16 as follows:**

16. (Amended) The device as claimed in claim 1, wherein the nozzle on the mould cavity runs out onto a gate, wherein the gate comprises an assembly displaceable in longitudinal direction.

**Please amend claim 17 as follows:**

17. (Amended) The device as claimed in claim 16, wherein the sleeve extends over an expansion space in the gate.

**Please amend claim 18 as follows:**

18. (Amended) The device as claimed in claim 1, wherein wiring in and on the mould is coated with Kapton and enclosed in a metal cage.

**Please amend claim 19 as follows:**

19. (Amended) The device as claimed in claim 1, wherein the device is provided with dual heating elements.

**Please amend claim 20 as follows:**

20. (Amended) The device as claimed in claim 1, wherein the device is provided with dual thermocouples.

**Please amend claim 21 as follows:**

21. (Amended) The device as claimed in claim 1, wherein the device comprises a control apparatus connected to a computer.





VERSION WITH MARKINGS TO SHOW CHANGES MADE

**Please amend claim 1 as follows:**

1. (Amended) An injection-moulding [Injection-moulding] device for injection moulding of plastic objects, comprising a mould which defines a mould cavity, in which mould is provided a flow channel for the at least partially liquid plastic, which flow channel extends through a manifold and a number of nozzles connected to the manifold, wherein the flow channel contains a number of transverse separating surfaces between structural components, and at least one transverse separating surface is bridged by a sealing element in the flow channel, wherein [characterized in that] the sealing element is provided clampingly on the structural components.

**Please amend claim 2 as follows:**

2. (Amended) The device [Device] as claimed in claim 1, wherein [characterized in that] the sealing element is provided with shrink fit on the structural components.

**Please amend claim 3 as follows:**

3. (Amended) The device [Device] as claimed in claim 2, wherein [characterized in that] the sealing element is provided on the structural components with an overmeasure in the dimension in axial direction.

**Please amend claim 4 as follows:**

4. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-3, characterized in that] the sealing element is formed by a cylindrical bush, wherein the ratio of the diameter of the flow channel, wall thickness of the bush and height of the bush equals 22:2:10.

**Please amend claim 5 as follows:**

5. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-4, characterized in that] the structural components are provided with a corresponding recess for the sealing element for housing of the sealing element.

**Please amend claim 6 as follows:**

6. (Amended) The device [Device] as claimed in claim 5, wherein [characterized in that] the recess has a form and dimension such that the passage of the flow channel over the seal remains constant.

**Please amend claim 7 as follows:**

7. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-6, characterized in that] the sealing element is manufactured from a metal alloy, for instance a high chromium content alloy.

**Please amend claim 8 as follows:**

8. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-7, characterized in that] an additional seal is provided between the structural components which is formed by self-sealing sealing rings which are arranged diametrically relative to the flow channel in the transverse separating plane.

**Please amend claim 9 as follows:**

9. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-8, characterized in that] the structural components defining the transverse separating surface are formed by the manifold and a nozzle.

**Please amend claim 10 as follows:**

10. (Amended) The device [Device] as claimed in claim 9, wherein [characterized in that] the nozzle is mounted on the manifold by means of a number of, preferably two, and more preferably four, independently controllable connecting elements.

**Please amend claim 11 as follows:**

11. (Amended) The device [Device] as claimed in claim 10, wherein  
[characterized in that] a connecting element is formed by a nut and bolt assembly, wherein  
the nut is preferably a clamp plate.

**Please amend claim 12 as follows:**

12. (Amended) The device [Device] as claimed in claim 9, wherein [any of the claims 9-11, characterized in that] an adaptor nozzle is provided between the manifold and a nozzle, wherein an angular displacement is possible between the manifold and the adaptor nozzle.

**Please amend claim 13 as follows:**

13. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-8, characterized in that] the structural components defining the transverse separating surface are formed by nozzle parts.

**Please amend claim 14 as follows:**

14. (Amended) The device [Device] as claimed in claim 13, wherein  
[characterized in that] two semi-circular clamping plates are provided round the transverse  
separating surface for enclosing the outer periphery of the nozzles.

**Please amend claim 15 as follows:**

15. (Amended) The device [Device] as claimed in claim 14, wherein  
[characterized in that] the outer periphery of the nozzles is provided with a stepped portion  
and the clamping plates with a corresponding recess.

**Please amend claim 16 as follows:**

16. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-15, characterized in that] the nozzle on the mould cavity runs out onto a gate [13], wherein the gate comprises an assembly displaceable in longitudinal direction.

**Please amend claim 17 as follows:**

17. (Amended) The device [Device] as claimed in claim 16, wherein [characterized in that] the sleeve extends over an expansion space in the gate.

**Please amend claim 18 as follows:**

18. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-17, characterized in that] wiring in and on the mould is coated with Kapton and enclosed in a metal cage.

**Please amend claim 19 as follows:**

19. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-18, characterized in that] the device is provided with dual heating elements.

**Please amend claim 20 as follows:**

20. (Amended) The device [Device] as claimed in claim 1, wherein [any of the claims 1-19, characterized in that] the device is provided with dual thermocouples.

**Please amend claim 21 as follows:**

21. (Amended) The device as claimed in claim 1, wherein [any of the claims 1-20, characterized in that] the device comprises a control apparatus connected to a computer.



## INJECTION-MOULDING DEVICE

The invention relates to an injection-moulding device as according to the preamble of claim 1.

These injection-moulding devices are also referred to in the art as hot runners, wherein the flow channel for the plastic melt in the device is held at process temperature, whereby the plastic present in the mould can be re-used for a subsequent production cycle. The flow channel is generally embodied in metal and equipped with heating elements and thermocouples, wherein control equipment is present to set a suitable temperature.

A critical component in such injection-moulding devices are the seals. A known seal is formed by a sealing ring which is enclosed with a sufficiently large bias between two parallel surfaces. The ring can be either solid or hollow, wherein the hollow ring has the advantage that liquid plastic will flow into the ring and will contribute toward the sealing action. In such a known seal both the parallel surfaces are at right angles to the channel. The plastic pressure will then press apart both the structural parts of which the sealing surfaces form part. The bias of the ring must therefore be at least as great as the occurring plastic pressure times the projected area of the channel for sealing. This sealing action will be adversely affected by possible movements of the structural parts in axial direction relative to each other.

Such hot runners are moreover subject to considerable pressures of up to 2000 bar and temperatures of about 480°C. This makes considerably more difficult the sealing action for transverse separating surfaces in the flow channel. These transverse separating surfaces are for instance present between the manifold and the

nozzles connected to the manifold and between parts of the nozzles themselves.

Known from DE 43 24 027 is a sealing ring for bridging transverse separating surfaces between modular  
5 components of an injection-moulding device. This known injection-moulding device is applied for the injection moulding of elastomeric objects, wherein wholly different operating conditions occur than in the case of hot runners. The operating temperature is for instance  
10 considerably lower.

The invention has for its object to obviate the above stated drawback in hot runners and provides for this purpose an injection-moulding device as according to claim 1. By arranging a sealing element clampingly in the  
15 flow channel a sealing is obtained of the surface which is concentric to the flow channel.

The sealing element is preferably provided on the structural components with shrink fit in the diameter and optionally an overmeasure in the dimension in axial  
20 direction. In the case of a shrink fit the sealing element is arranged in the flow channel with an overmeasure in the diameter while temperature is decreased, for instance with nitrogen. A relatively large bias can be obtained with shrink fitting. When an  
25 overmeasure in the dimension in axial direction is also applied, a sealing action is obtained in the case of two mutually perpendicular surfaces.

Since the seal parallel to the flow channel is more critical than transversely thereof, the sealing  
30 element is preferably formed by a cylindrical bush, wherein the ratio of the diameter of the flow channel, wall thickness of the bush and height of the bush equals 22:2:10. The plastic pressure will press the thin-walled bush against the wall of the flow channel. The higher the  
35 plastic pressure, the better the sealing action will be. Should both structural components move axially or rotate relative to each other, the sealing capacity will not then be affected, or only slightly.

The invention will be better understood in the light of the detailed description given below of a number of preferred embodiments with reference to the annexed drawing. Herein:

5                Figure 1 shows a perspective, partly broken away view of a detail of an injection-moulding device according to the invention;

              Figures 2, 3 and 4 show enlarged perspective views of the sectors II, III and IV of figure 1.

10               Figure 1 shows a broken-away mould 1 of an injection-moulding device according to the invention in which a manifold 2 runs out onto a nozzle 3. A flow channel 4 extends through manifold 2 and nozzle 3. The flow channel 4 for a plastic melt forms an angle with  
15 nozzle 3. Such a flow channel 4 has a number of transverse separating surfaces 5, 5' for which a seal must be provided. The transverse separating surface 5 is present between manifold 2 and nozzle 3, the transverse separating surface 5' is present between components of  
20 the nozzle 3 itself.

              The seal 6 between the structural components, manifold 2 and nozzle 3 is elucidated in the detail view of figure 4. A sealing element 6 is provided in flow channel 4 and is formed by a thin-walled bush which is  
25 placed with shrink fitting in two cylindrical recesses 7, 8 arranged in nozzle 3 and manifold 2. The passage of flow channel 4 hereby remains constant.

              Seal 6 is arranged such that the plastic pressure in the flow channel will press the thin-walled  
30 bush with its back against the cylindrical recesses 7, 8 of the two structural parts 2, 3, thereby reinforcing the sealing action. Seal 6 extends over the contact surfaces between the two structural parts. The higher the plastic pressure, the better the sealing action will be. If both  
35 components move slightly relative to each other (axially or rotation), the sealing function will still be maintained. The sealing surfaces are preferably concentric to flow channel 4.



Seal 6 should preferably be made from a high chromium content steel alloy in order to keep the tensile strength as low as possible so as to facilitate deformation of the bush. In order to avoid scoring, a  
5 hard surface layer 29 will preferably have to be applied. At a channel diameter of for instance 22 mm, the wall thickness of the bush will be roughly 2 mm and the height about 10 mm. At other channel diameters these dimensions will also change proportionally. In addition, the sealing  
10 bush preferably has an overmeasure in its height of 0.4 to 1.0% and is placed for instance with a shrink fit of H7p6 (NEN 2807) in the flow channel.

The shown device is provided in this transverse separating surface 5 with an additional, independently  
15 acting seal 9. Should the first seal fail, the second seal will then take over its function. The hollow sealing rings 9 are provided for this purpose.

An injection-moulding device is assembled in mould 1 when the two have the same temperature. Once in  
20 production, the injection-moulding device will be about 200°C hotter than mould 1. The injection-moulding device will expand relative to mould 1. In the case of a manifold 2 with a length of 1000 mm, this will be about .3 mm. The thickness of the manifold will however also  
25 become greater, as will the length of nozzles 3.

Two known techniques are currently used to provide space for this expansion, i.e. the sliding construction and the screwed construction.

The drawbacks of the sliding construction are:  
30 A danger with the sliding construction is that the sealing between the nozzle and the manifold only comes about at process temperature. Assembly will therefore have to take place very precisely (accurate to hundredths of millimetres).

35 In order to realize the bias, heavy structural parts are necessary between injection-moulding device and mould. This has the result that much energy is lost in

the form of heat and that cold locations are created in the injection-moulding device.

An injection-moulding device based on a sliding construction cannot be supplied as a fully finished system. The nozzles are not connected fixedly to the manifold. Wiring of the system will not therefore be carried out by the injection-moulding device supplier. This saves cost which will be manifest in the ordering price.

10           The screw method is applied, if possible. Owing to the expansion of the manifold the upper end of the nozzle will be moved along. The lower end is held in the mould and will thus remain in position. The nozzle is thus forced to bend. Note that these can be tubes with an  
15 outer diameter of 42 mm and a wall thickness of 10 mm. There are therefore limitations to this method. If this method cannot be applied, recourse is had to the sliding method.

          The drawbacks of the screwed construction are:  
20 It frequently occurs that a plastic product is formed which has a specifically formed surface at the position of the gate. This means that the outflow opening must be modified to the form of the product. In the case of a repair where the nozzle is disassembled, it is not  
25 possible in the screwed construction to re-place the outflow opening in precisely the same position. It is suddenly found that components can be tightened just a little further.

          In order to counter this a construction is  
30 available wherein use is made of a wing nut providing the connection between the nozzle and the manifold. This solves the problem at this location. The problem persists however in the case of the screw outflow opening.

          Another drawback is that the nominal screw  
35 thread diameter becomes very large. Screw tightening of the nozzle or of the wing nut wherein the correct bias is realized is difficult to perform in practice because the required tightening moment is very great. Disassembly is

consequently very difficult. The danger of scoring of the screw thread is always present.

The component with outflow opening (gate insert) is fixedly connected to the nozzle. The manifold  
5 is fixed in the mould. This means that in the case of thermal expansion of the nozzle the gate insert will be displaced in axial direction in the mould. In order to realize the correct thermal properties in the outflow opening constrictions are arranged in the component.  
10 Failure of the gate insert at the position of the constriction is a regular occurrence as a consequence of the high friction forces between insert and mould.

It is possible to point to a further reason why these gate inserts break. The lateral forces which ensure  
15 that the nozzle really does bend must be transmitted by the mould via the insert to the nozzle. The stresses at the position of the constriction in the gate insert will thereby become high. These are increased even further because the insert, as a result of the bending of the  
20 nozzle, will also have to bend (in opposing direction). This will of course take place at the position of the constriction.

These drawbacks are at least partly obviated by the device as according to claims 9, 10 and 11.

25 The connection between manifold 2 and nozzle 3 is obtained with two, and preferably four independently controllable connecting elements. In the drawings 1 and 4 these take the form of a nut and bolt 10 assembly, wherein the nut is preferably formed by a clamp plate 11.  
30 Bolts 10 extend through an opening provided for this purpose in manifold 2 and in a shoulder part 28 of nozzle 3. Nuts 10 engage on four clamp plates 11, whereby nozzle 3 is clamped fixedly against manifold 2.

The advantages hereof are that after  
35 disassembly the nozzle can be re-placed in exactly the same position, the tightening moments for the bolts are reasonable whereby assembly and disassembly will be simple to realize, and that due to their small size bolts

and clamp plates can be readily and cheaply provided with an anti-scoring layer. A particular form of the connection between manifold 2 and nozzle 3 is the use of an adaptor nozzle.

5           When a short nozzle is mounted on the end of a long manifold, the proposed upgraded construction with bolts and clamp plates will not perform better than the known constructions. The proposed improvement shown hereinafter is the specific solution to this problem. At  
10 the height of the position of the nozzle a short adaptor nozzle is mounted transversely on top of the manifold. The connection is made such that a small angular displacement is possible between the two structural components.

15           When the manifold expands and the short nozzle is held in its position, the adaptor nozzle will assume a different angle relative to the manifold. The distance over which the nozzle is urged toward the manifold as a consequence of this rotation is compensated by the  
20 thermal expansion of the adaptor nozzle. In this way there will be no destructive forces present in the construction.

Figure 2 shows in detail the gate 13 of nozzle 3 which debouches into the mould cavity 12.

25           Provided centrally in flow channel 4 is a torpedo 14 which is coupled by means of three spokes 37 to the intermediate wall part 14' of the foremost nozzle part 16 in order to facilitate the heat transport toward torpedo 14 and gate 13.

30           A wedge-shaped sleeve 15 extends over the expansion space 36 in a recess, whereby blind areas are avoided in which plastic material can possibly result in blockages.

          The mutually coupled assembly of components 14,  
35 37 and 14' lies mounted displaceably in longitudinal direction of flow channel 4. This assembly will slide forward (to the left in the drawing) against mould 1 or against a component (not shown) mounted in mould 1. This

displacement force is generated by the loss of pressure in the flow injection over this assembly.

Nozzle 3 comprises a number of transverse structural components 16, 17 mutually separated by a transverse separating surface 5'. The sealing between components 16 and 17 is likewise formed by a sealing element 18 which extends over transverse separating surface 5'. Sealing ring 18 lies in the corresponding recesses 29 and 30. A screw-in is applied to fixedly connect nozzle parts 16, 17. A known problem in the prior art which occurs here is that the mutual positioning is not predictable, which generally results in problems.

The connection of these two parts 16, 17 is realized according to the invention by means of two semi-circular clamping plates 19 for enclosing the outer periphery of nozzle parts 16, 17. Openings 31 are provided in this clamping plate 19 for screwing thereof against the other clamping plate 19. The outer periphery of the nozzle parts is preferably provided with a stepped portion 20, wherein clamping plate 19 comprises a corresponding recess 21. The inner side is preferably provided with two chamfered surfaces 33. These surfaces coincide with the inclining surfaces on the two parts for mutual connection. When the semi-circular plates 19 are pulled toward each other by means of for instance bolts 32, both nozzle parts 16, 17 will be pressed toward each other and assume a permanent fixed position.

The invention further relates to improvements in the temperature control and wiring protection.

It is not usual for wiring on injection-moulding devices to be fully protected by metal constructions. It is known that, during transport of the injection-moulding device and during assembly of an injection-moulding device in a mould, damage often occurs to the wiring of the injection-moulding device. The solution has heretofore been sought in better protection of the wiring by means of flexible metal hoses or braided metal hoses. These hoses provide protection in each case

to five wires; two wires for the heating element, two wires for the thermocouple and one wire for earth. In each case therefore, the wires for one zone. The wires themselves are usually coated with Teflon. A glass-fibre  
5 hose impregnated with silicones is often further arranged round the five wires in the metal hose. In order to prevent the connections of the wires to the heating elements and thermocouples breaking off after a short time, they are often given a robust form. These  
10 connections are generally freely accessible and therefore quickly sustain mechanical damage.

The drawbacks hereof are that mechanical damage cannot be prevented with absolute certainty and that teflon is only resistant up to 260°C. Above this  
15 temperature the material becomes soft and the conductor can penetrate through the insulation. It is noted that process temperatures can rise to 425°C. A final drawback is that because robust solutions are chosen the connections take up much space.

20 These drawbacks are obviated with the measures according to claim 17. All wiring and all connection points are concealed from view by a metal construction. It is hereby no longer possible for mechanical damage to the wiring to occur during transport of the injection-  
25 moulding device and during assembly in the mould. Teflon is replaced by Kapton. This is resistant to higher temperatures. An additional advantage is that the insulating value of Kapton is very high. The outer diameter of the insulated wire is hereby considerably  
30 smaller. Because wiring and connection lie inside a metal construction, further protective hoses no longer have to be arranged.

The advantages hereof are that mechanical damage to wiring and connections during transport and  
35 assembly in the mould is precluded, and that the space occupied by the wiring is considerably smaller.

It is usual for one heating element or two parallel heating elements to be included per zone. In the

case two are arranged, both are necessary to effect proper functioning. In an injection-moulding device there are at least four zones, although this number is generally exceeded. Injection-moulding devices with 40 to 50 zones are not unusual. An injection-moulding device no longer operates properly if one heating element fails. If two fail, the injection-moulding device usually ceases to function.

A choice is often made for heating elements of robust dimensions. As already described above, this is done mainly to reduce mechanical damage.

The drawbacks hereof are that the injection-moulding device no longer functions if one or a number of heating elements fail, and that the chosen heating elements take up a relatively large amount of space.

Owing to the complete protection of wires and connections it is no longer necessary to opt for robust heating elements. A choice is now made for heating elements of small dimensions. This choice enables the mounting of an additional heating element in the same space. This provides the option of switching to the additional heating element when the first element fails.

An advantage hereof is that it will be hereby possible for the injection-moulding device to continue functioning much longer before any action is taken to replace faulty heating elements.

It is usual for one thermocouple to be mounted per zone. If one thermocouple fails, the injection-moulding device will no longer function properly. If two or more thermocouples fail, the injection-moulding device ceases to function.

Two thermocouples are mounted per zone. For the same reason as in the case of heating elements, a choice is made for thermocouples in relatively small form. The injection-moulding device will hereby remain in operation longer before repairs must be made.

An electronic apparatus is required per heating zone to maintain the temperature as accurately as

possible. Currents which have to be supplied can rise to 16 amps. It is usual at the moment for these apparatuses to have a limited functionality. They usually regulate the temperature as independent units. Such an apparatus  
5 is sometimes able to report that the element or the thermocouple has failed. It is sometimes able to report the power consumption. In some cases it is possible to have the apparatuses communicate with a PC.

A drawback hereof is that it is necessary to  
10 install the correct control software per control apparatus. A memory module per unit is required for this purpose. When the software has to be updated, each unit will have to be disassembled.

The control software will now be stored in a  
15 PC. The control apparatus itself will no longer contain any intelligence. It will be in continuous connection with the PC. The measured values will be transmitted to the PC. Software is then available here which determines what the control apparatus must do. These commands are  
20 subsequently sent back again to the control unit.

The advantages hereof are that the control unit can be built in a simpler form and thus more cheaply, and that in the case of a possible software update only the software on the PC has to be upgraded.

25 The control apparatus will not only be connected to the main heating element and the main thermocouple, but will also be connected to the additionally mounted heating element and thermocouple. The PC will be provided with comprehensive software. If  
30 for instance a heating element were to fail, the control unit will then be able to determine this and pass this information to the PC. The PC can then give the command to switch on the second heating element.

In addition, the software can monitor all  
35 manner of things, such as for instance energy consumption. Should irregularities occur, these can then be reported. It is also possible in this way to determine



the degree of wear of a heating element. It thus becomes possible to predict when an element will fail.

The advantages hereof are that the injection-moulding device will be in operation longer without  
5 intervention of staff, the reliability of the injection-moulding device will be greater, and periodic maintenance can now be planned. There will be less necessity for ad hoc maintenance.

## CLAIMS

1. Injection-moulding device for injection moulding of plastic objects, comprising a mould which defines a mould cavity, in which mould is provided a flow channel for the at least partially liquid plastic, which  
5 flow channel extends through a manifold and a number of nozzles connected to the manifold, wherein the flow channel contains a number of transverse separating surfaces between structural components, and at least one transverse separating surface is bridged by a sealing  
10 element in the flow channel, characterized in that the sealing element is provided clampingly on the structural components.

2. Device as claimed in claim 1, characterized in that the sealing element is provided with shrink fit  
15 on the structural components.

3. Device as claimed in claim 2, characterized in that the sealing element is provided on the structural components with an overmeasure in the dimension in axial direction.

20 4. Device as claimed in any of the claims 1-3, characterized in that the sealing element is formed by a cylindrical bush, wherein the ratio of the diameter of the flow channel, wall thickness of the bush and height of the bush equals 22:2:10.

25 5. Device as claimed in any of the claims 1-4, characterized in that the structural components are provided with a corresponding recess for the sealing element for housing of the sealing element.

30 6. Device as claimed in claim 5, characterized in that the recess has a form and dimension such that the passage of the flow channel over the seal remains constant.

7. Device as claimed in any of the claims 1-6, characterized in that the sealing element is manufactured  
35 from a metal alloy, for instance a high chromium content alloy.

8. Device as claimed in any of the claims 1-7,  
characterized in that an additional seal is provided  
between the structural components which is formed by  
self-sealing sealing rings which are arranged  
5 diametrically relative to the flow channel in the  
transverse separating plane.

9. Device as claimed in any of the claims 1-8,  
characterized in that the structural components defining  
the transverse separating surface are formed by the  
10 manifold and a nozzle.

10. Device as claimed in claim 9, characterized  
in that the nozzle is mounted on the manifold by means of  
a number of, preferably two, and more preferably four,  
independently controllable connecting elements.

11. Device as claimed in claim 10,  
characterized in that a connecting element is formed by a  
nut and bolt assembly, wherein the nut is preferably a  
clamp plate.

12. Device as claimed in any of the claims  
20 9-11, characterized in that an adaptor nozzle is provided  
between the manifold and a nozzle, wherein an angular  
displacement is possible between the manifold and the  
adaptor nozzle.

13. Device as claimed in any of the claims 1-8,  
25 characterized in that the structural components defining  
the transverse separating surface are formed by nozzle  
parts.

14. Device as claimed in claim 13,  
characterized in that two semi-circular clamping plates  
30 are provided round the transverse separating surface for  
enclosing the outer periphery of the nozzles.

15. Device as claimed in claim 14,  
characterized in that the outer periphery of the nozzles  
is provided with a stepped portion and the clamping  
35 plates with a corresponding recess.

16. Device as claimed in any of the claims  
1-15, characterized in that the nozzle on the mould  
cavity runs out onto a gate 13, wherein the gate

comprises an assembly displaceable in longitudinal direction.

17. Device as claimed in claim 16,  
characterized in that the sleeve extends over an  
5 expansion space in the gate.

18. Device as claimed in any of the claims  
1-17, characterized in that wiring in and on the mould is  
coated with Kapton and enclosed in a metal cage.

19. Device as claimed in any of the claims  
10 1-18, characterized in that the device is provided with  
dual heating elements.

20. Device as claimed in any of the claims  
1-19, characterized in that the device is provided with  
dual thermocouples.

15 21. Device as claimed in any of the claims  
1-20, characterized in that the device comprises a  
control apparatus connected to a computer.

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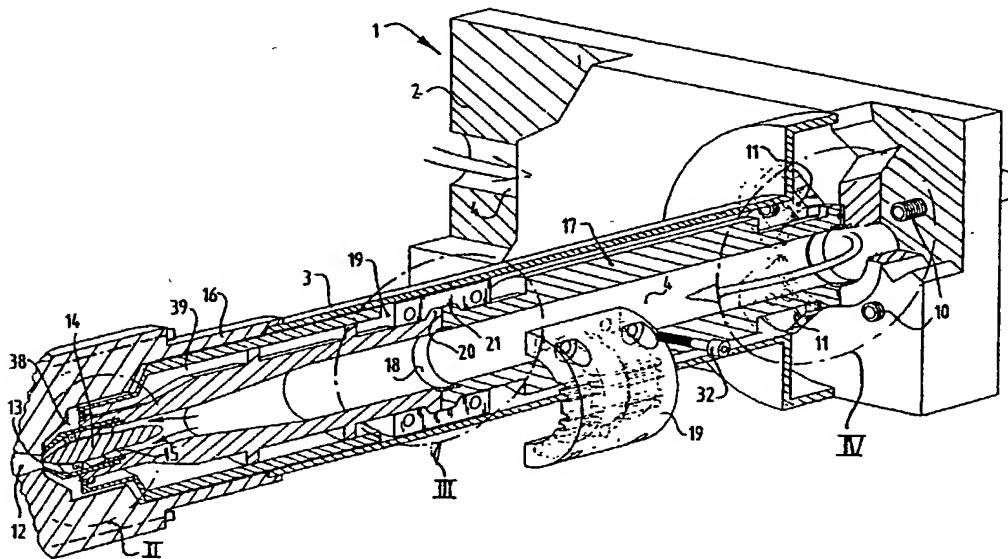
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(54) Title: INJECTION-MOULDING DEVICE



(57) Abstract: The invention relates to an injection-moulding device for injection moulding of plastic objects, comprising a mould which defines a mould cavity, in which mould is provided a flow channel (4) for the at least partially liquid plastic, which flow channel (4) extends through a manifold (2) and a number of nozzles (3) connected to the manifold (2), wherein the flow channel (4) contains a number of transverse separating surfaces (5, 5') between structural components, and at least one transverse separating (5, 5') surface is bridged by a sealing element (6, 8) in the flow channel, which sealing element (6, 8) is provided clamping on the structural components.

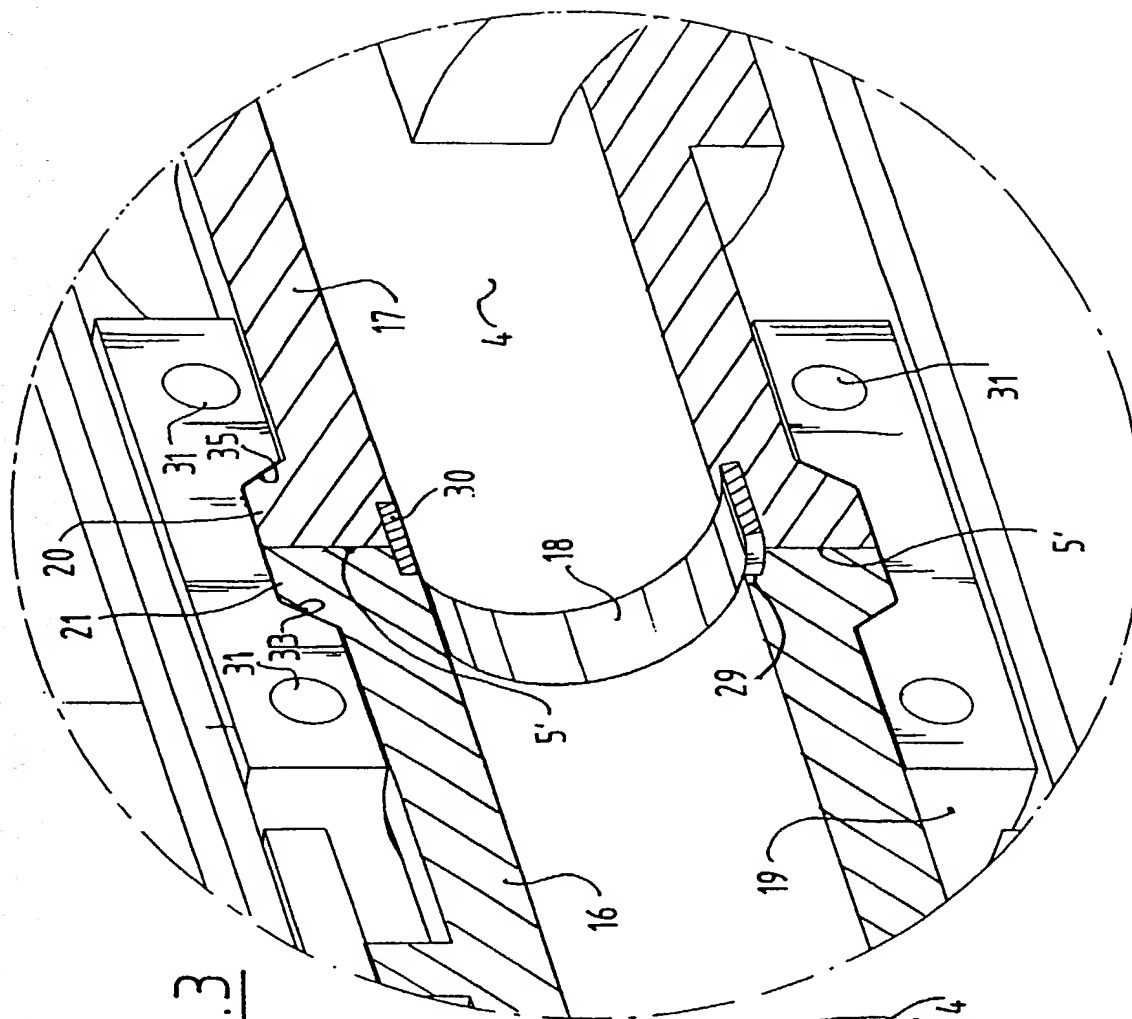


FIG. 2

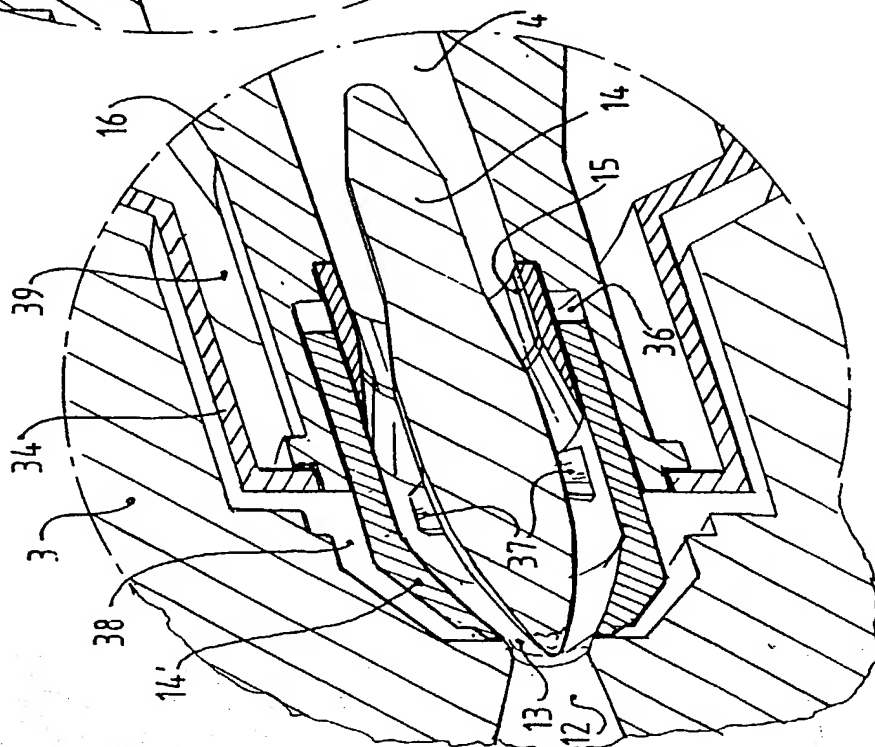


FIG. 3

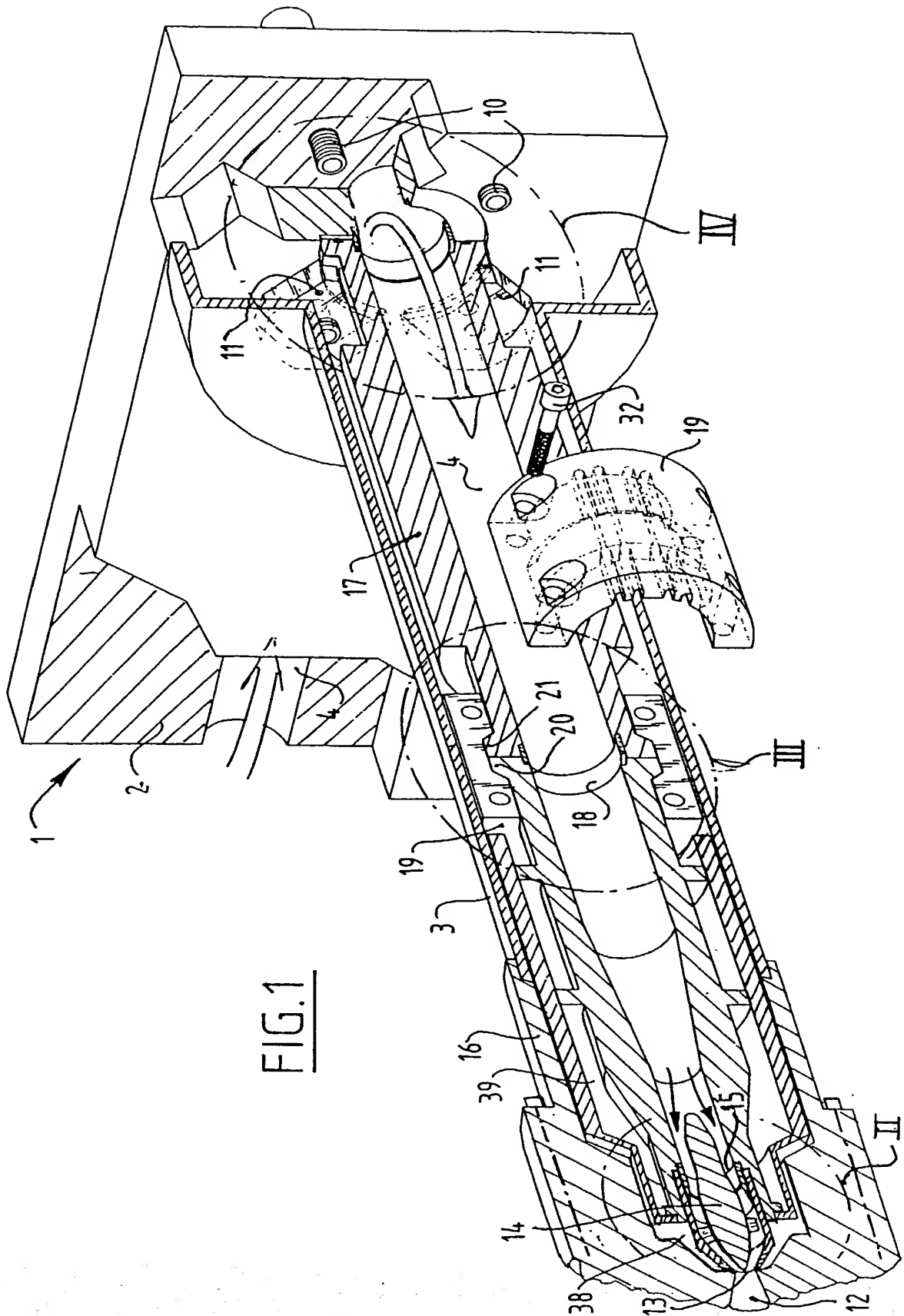
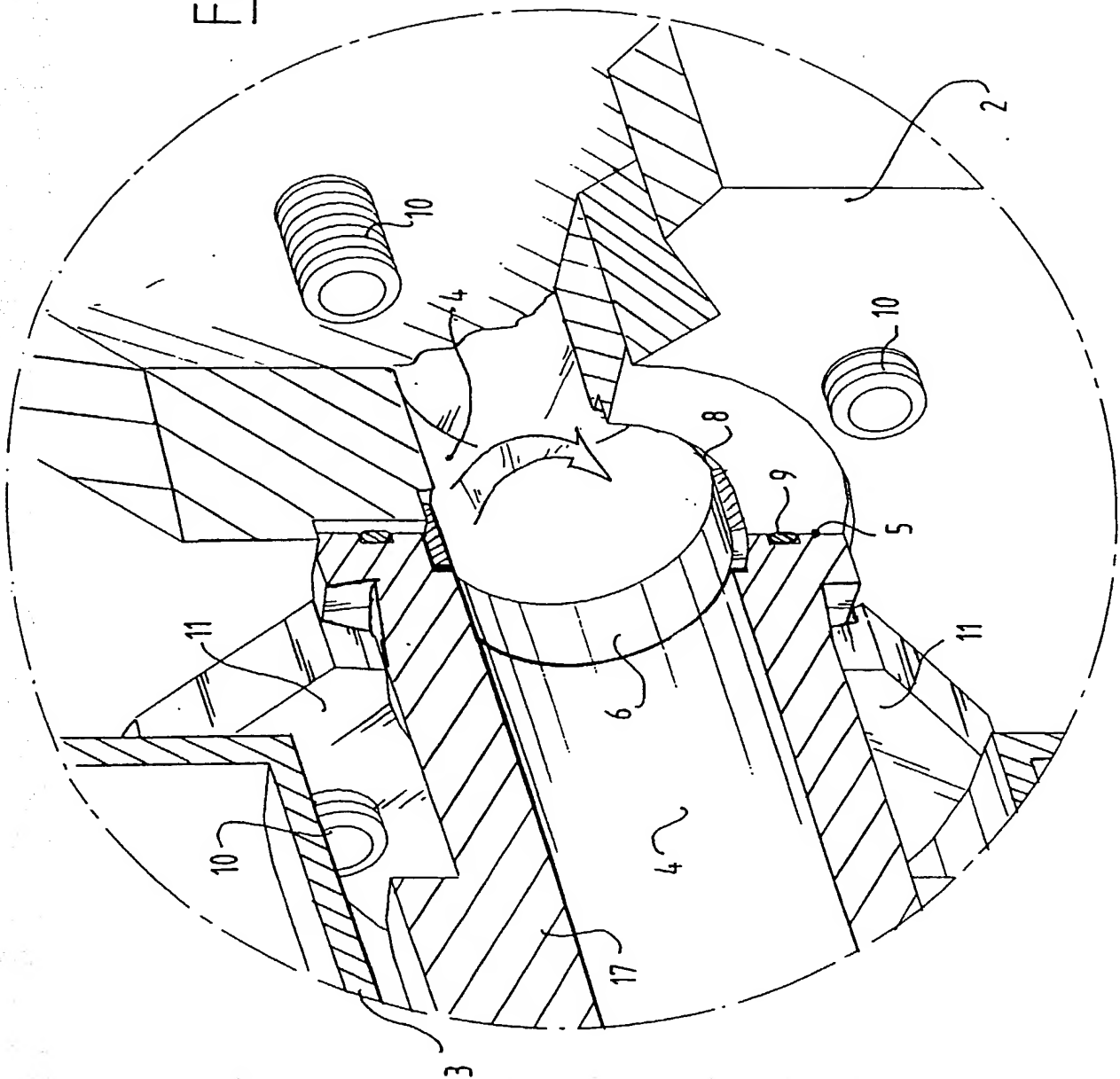


FIG. 1

FIG. 4





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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of :  
Franciscus A.J. VAN BOEKEL : INJECTION-MOULDING DEVICE  
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Pittsburgh, Pennsylvania  
February 26, 2002

**LETTER RECOGNIZING ATTORNEYS**

**Box PCT**  
Commissioner for Patents  
Washington DC 20231

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims, abstract and drawing. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize Richard L. Byrne, Blynn L. Shideler, and Christian E. Schuster Registration Nos. 28,498, 35,034, and 43,908, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

10069863 10/069863

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Kindly direct all communications relating to this application to **Richard L. Byrne**.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

By



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# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Injection-moulding device  
the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 26 February 2002 as

Application Serial No. 10/069,863

and was amended on 26 February 2002

and filed as PCT/NL00/00591 on August 24, 2000 (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
1012925	The Netherlands	27 August 1999	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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(Supply similar information and signature for third and subsequent joint inventors.)